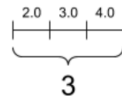


Reminder : Scalars, Vectors, Matrices & Tensors

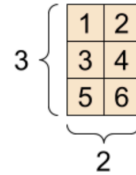
A scalar, shape: $[]$

4

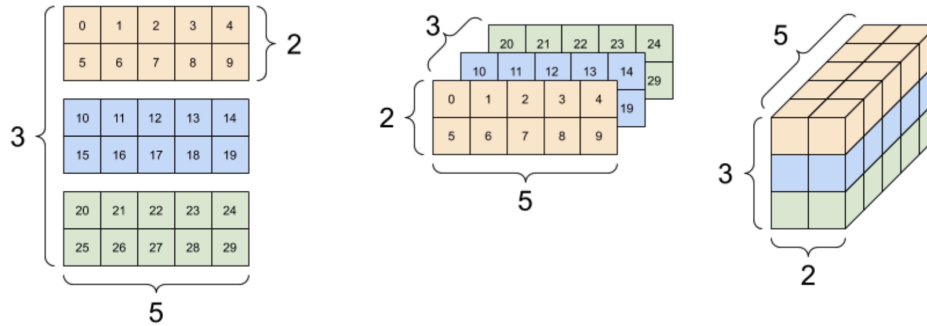
A vector, shape: $[3]$



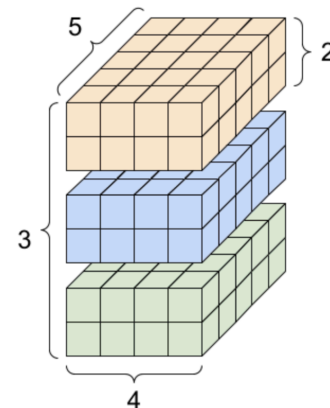
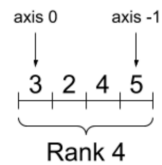
A matrix, shape: $[3, 2]$



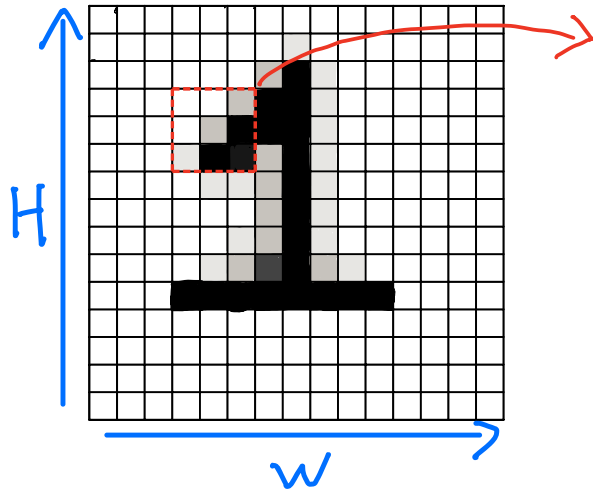
A 3-axis tensor, shape: $[3, 2, 5]$



A rank-4 tensor, shape: $[3, 2, 4, 5]$



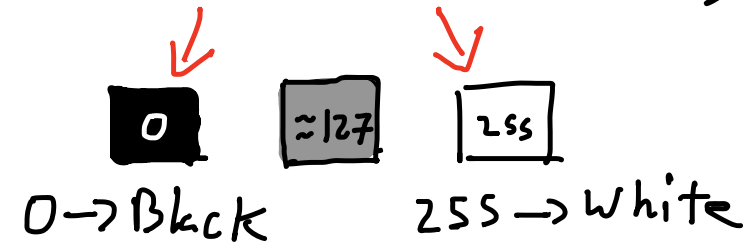
Representing Images



255	255	127
255	127	0
192	0	62

- Images composed of pixel features

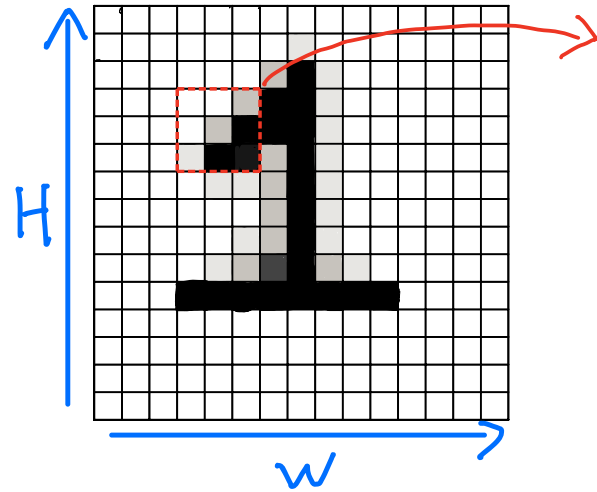
- Typically 8-bit values 0 - 255 (int)



Single obs x is a matrix: $H \times W$

Batch X is a 3-D Tensor: $N \times H \times W$

Representing Images



255	255	127
255	127	0
192	0	62



1.	1.	0.5
1.	0.5	0.
0.7	0.	0.2

0 to 1
good

1.	1.	0.
1.	0.	-1.
1.2	-1.	-0.5

-1 to 1
better

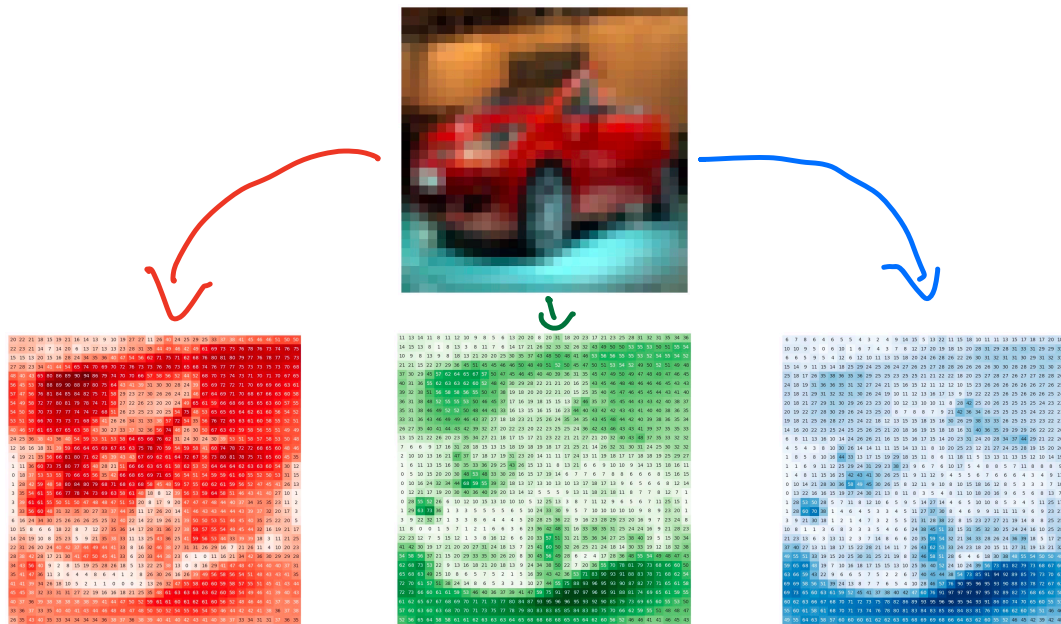
For Neural Networks Want
to convert to float and rescale

3.1	3.1	0.1
3.1	0.1	-3.
1.4	-3.	-1.1

Norm
best!
[But data set
specific :-]

RGB Images

Represent color pixels w/ 3-Channels
[3-values per pixel]



Purple \rightarrow 240 High red
 \rightarrow 10 Low green
 \rightarrow 200 High blue

White \rightarrow 255 Max red
 \rightarrow 255 Max green
 \rightarrow 255 Max blue

black \rightarrow 0 No red
 \rightarrow 0 No green
 \rightarrow 0 No blue

Single obs. x is 3-D tensor: $H \times W \times 3$

Batch X is 4-D tensor: $N \times H \times W \times 3$

RGB Images - PyTorch

Normal

Single obs. x is 3-D tensor: $H \times W \times 3$

Batch X is 4-D tensor: $N \times H \times W \times 3$

PyTorch

Single obs. x is 3-D tensor: $3 \times H \times W$

Batch X is 4-D tensor: $N \times 3 \times H \times W$

$x.permute(0, 3, 1, 2)$

Representing Images (So far)

For standard neural network Reshape into vector

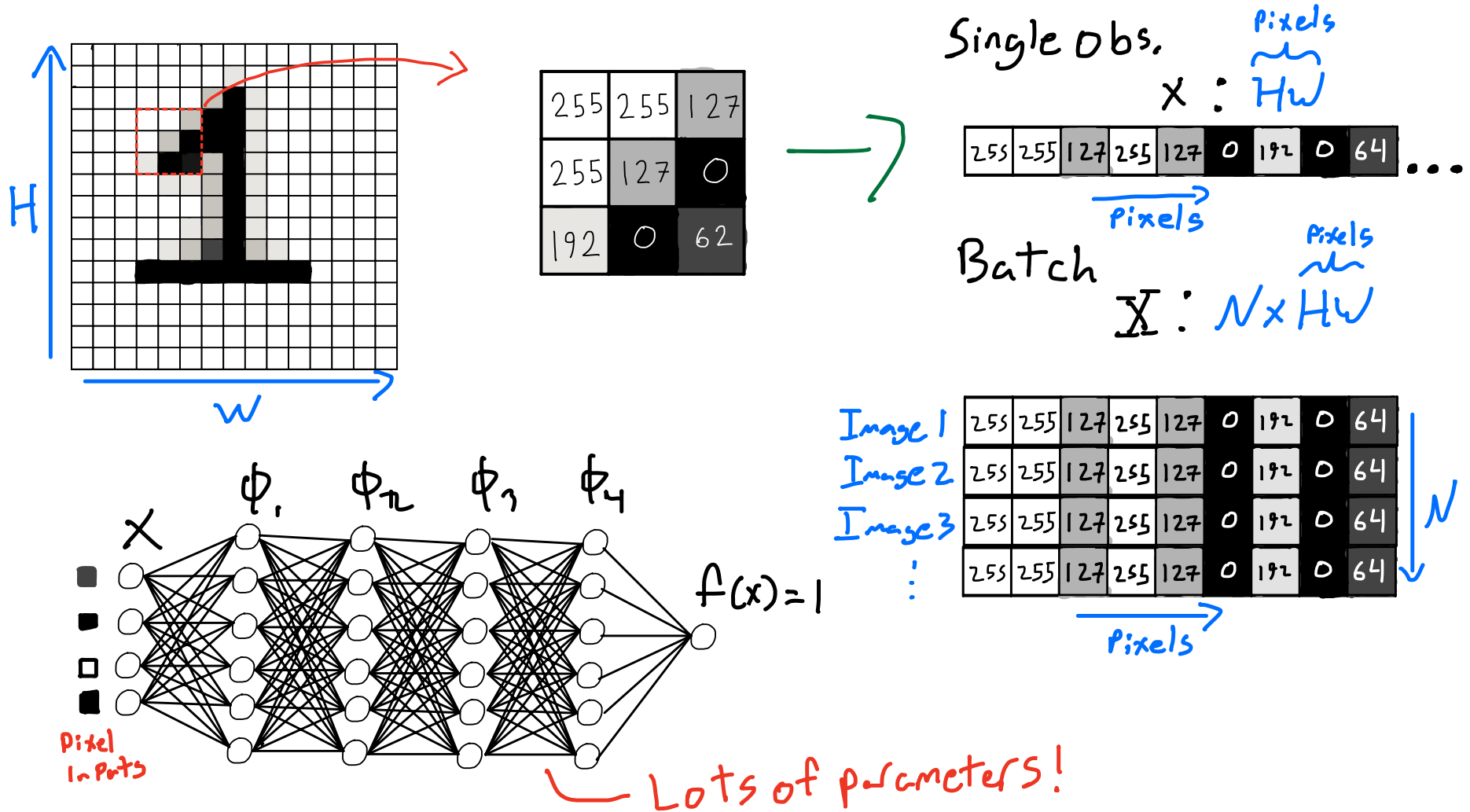
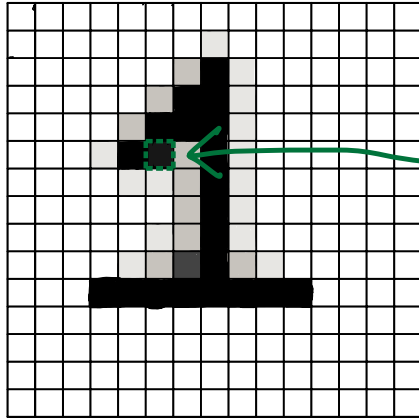


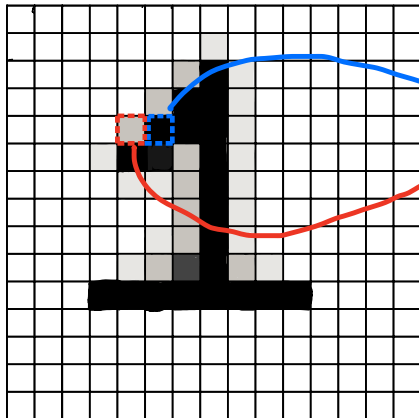
Image Structure

— Class determined by relationships between features

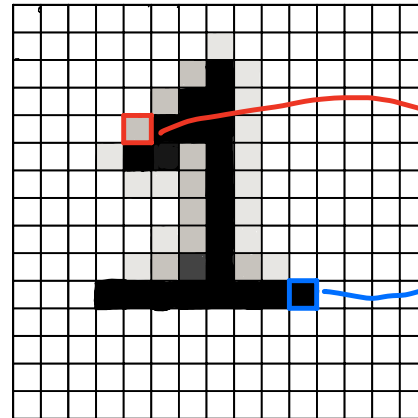


One pixel has little info by itself!

— Relationships between nearby pixels are more important than far pixels



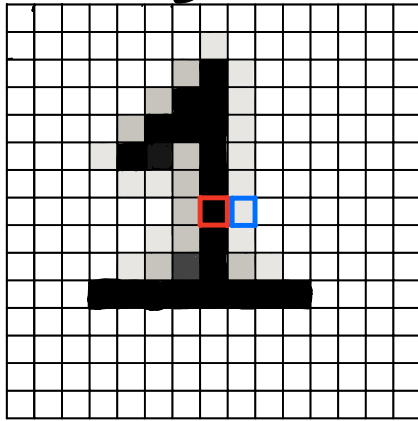
There is an edge here



?.

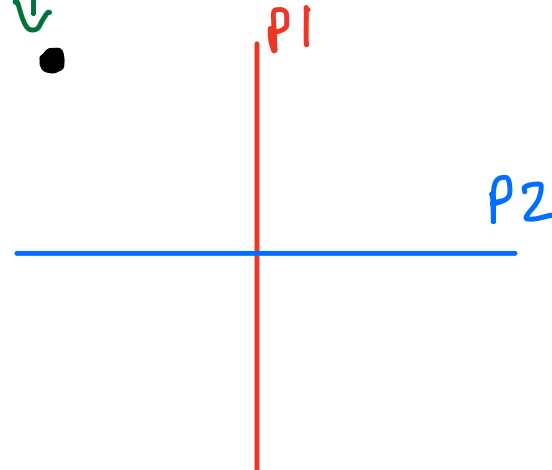
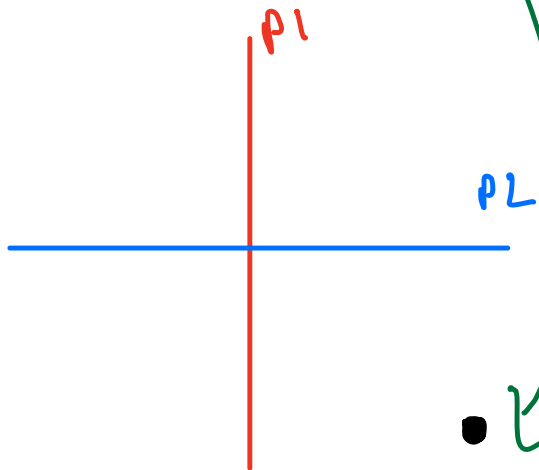
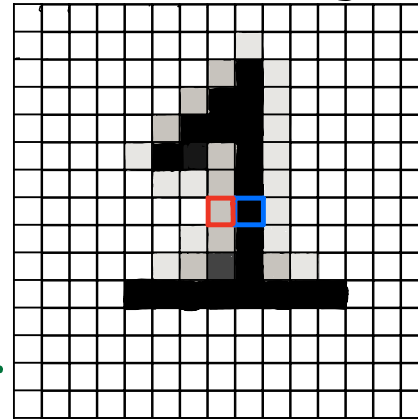
Image Structure

original



Translation
shouldn't change
prediction

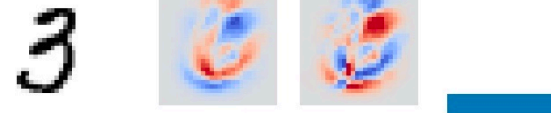
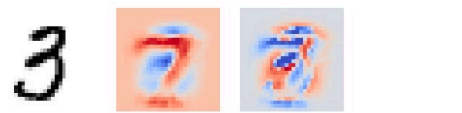
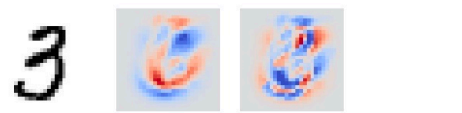
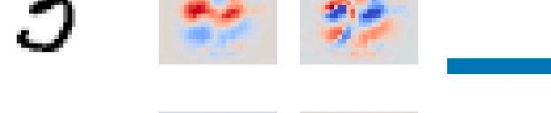
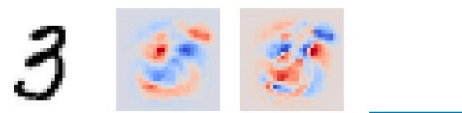
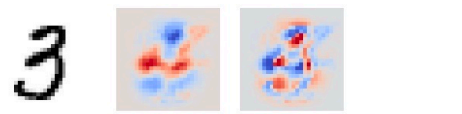
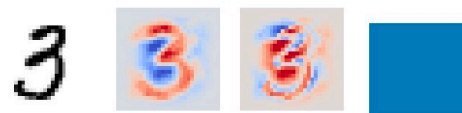
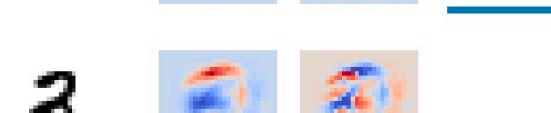
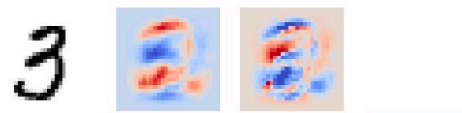
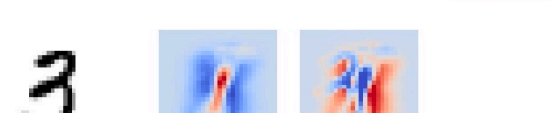
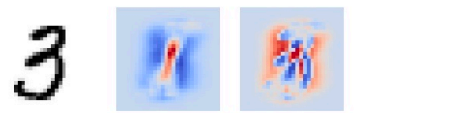
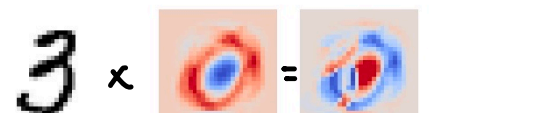
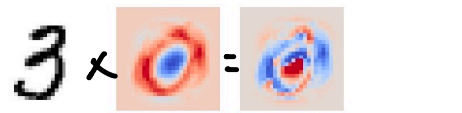
shifted right



But features can change a lot!

Logistic Regression

Input W_c $x \otimes W$ Pred W_c $x \otimes W$ Pred



- Regression weights from HW 3

- Shifting Image changes predicted class!

Remember prediction function for multiclass Classification

→ Class w/ max output

$$\text{Pred. } y = f(x) = \arg\max_c W_c^T x$$

Convolutional Networks (CNNs)

- 1) Maintain Image Structure
(Don't flatten)
- 2) Shift weights to find best alignment
- 3) Make network sparse
(Remove weights)

Shifting Weights

Input (shifted) w C — $x^T W$ At every location

3			—
3			—
3			—
3			—
3			—
3			—
3			—

i.e. $C_{ij} \rightarrow$ Shift w to be centered at i, j and compute $x^T W$

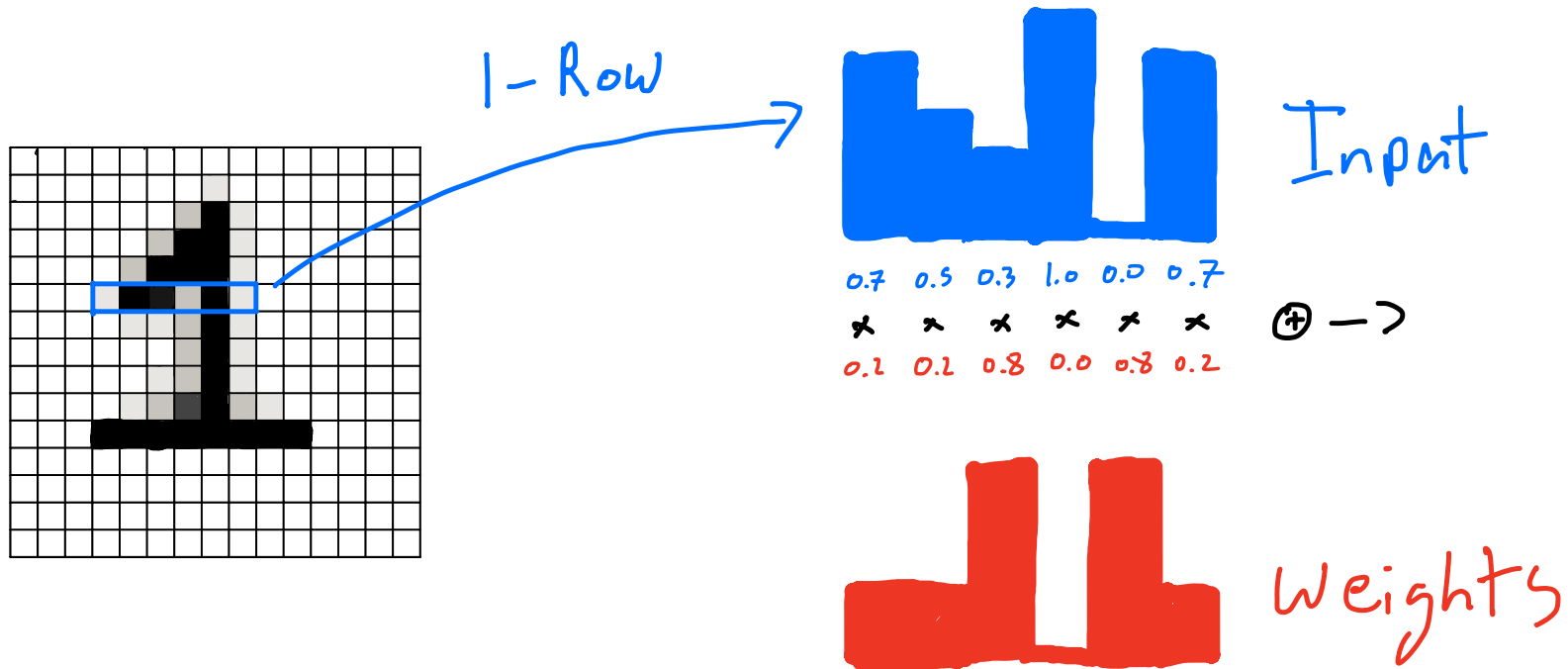
Predict 3 again!

\rightarrow Predict with $\max(C)$


\rightarrow Location where x and w most closely match

Convolution!

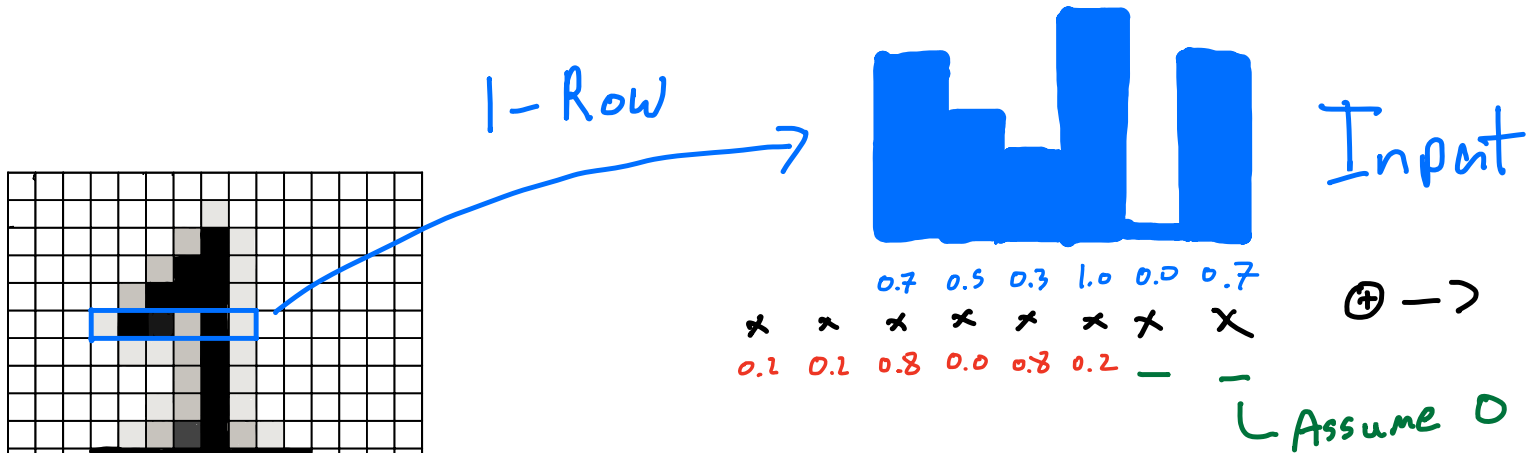
Convolution in 1-dimension



$$(0.7)(0.2) + (0.5)(0.2) + (0.3)(0.8) + (1.0)(0.0) + (0.0)(0.8) + (0.7)(0.2)$$

$$= 0.77 \left\{ \text{ } \right\}$$


Convolution in 1-dimension



Try different alignment

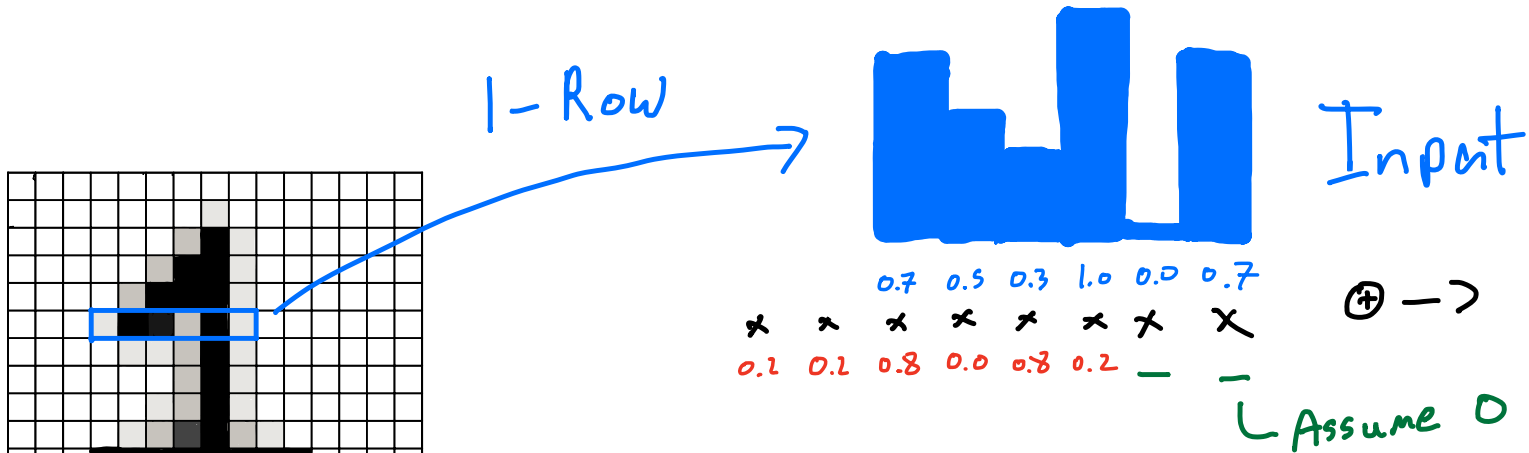


$$(0.7)(0.8) + (0.5)(0.0) + (0.3)(0.8) + (1.0)(0.2)$$

$$= 1.0$$

Better than before!

Convolution in 1-dimension



Try different alignment

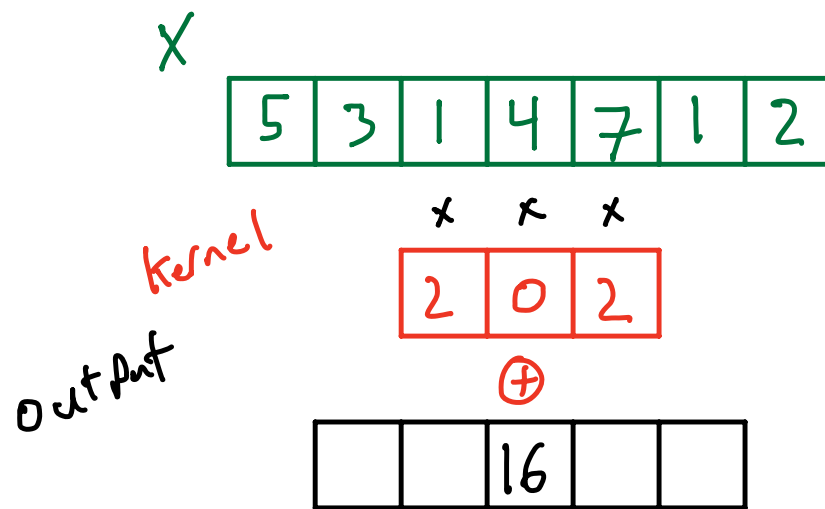
$$(0.7)(0.8) + (0.5)(0.0) + (0.3)(0.8) + (1.0)(0.2)$$

$$= 1.0$$

Better than before!

Convolution operator (1-D)

Inputs: x : Array of length d
kernel: Array of length s
(weights)



$16 = 2 \cdot 1 + 4 \cdot 0 + 7 \cdot 2$

Typically: $s < d$

Only compute alignments where kernel fully overlaps x

In torch: padding = 'Valid'

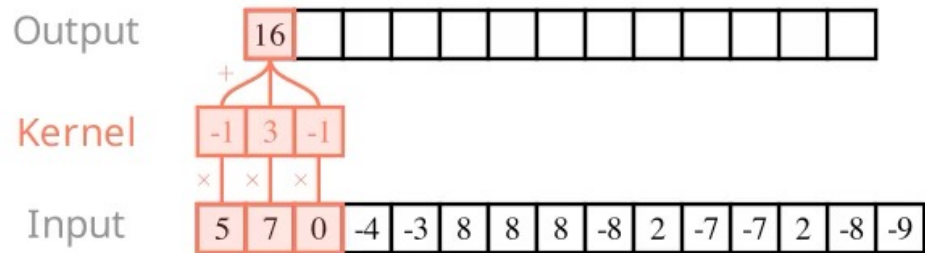
$$\text{Conv}(x, k)_i = \sum_{j=1}^s x_{i+j} k_j$$

$$\text{Output length} = d - (s - 1)$$

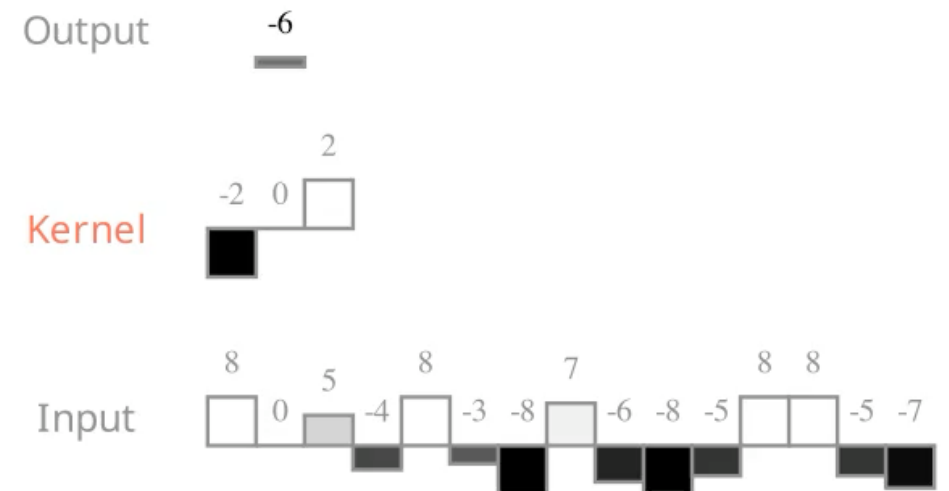
Convolution Animated!

Convolution (kernel size: 3)

$$\text{Output}[0] = (5)(-1) + (7)(3) + (0)(-1) = 16$$



Convolution (kernel size: 3)



Padding

- If we want to try every possible alignment we need to pad the input w/ 0s
- In torch: padding='full'

$$\text{Output length} = d + 2(s-1)$$

Padding

Convolution (size: 3, padding: 1)

Output

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Kernel

Input 0

6	6	-6	-9	-1	-3	-6	1	-3	-9	5	3	3	-9	1
---	---	----	----	----	----	----	---	----	----	---	---	---	----	---

0

- Often want padding
in-between

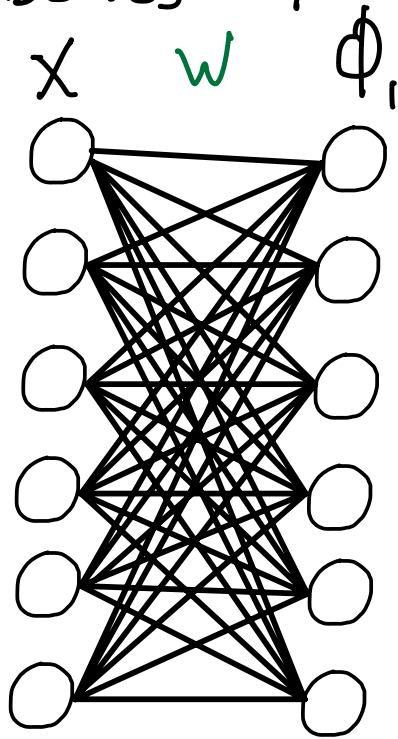
- e.g. If we want an
output size of d

- In torch: padding='same'

Output length = d

Convolution as a Layer

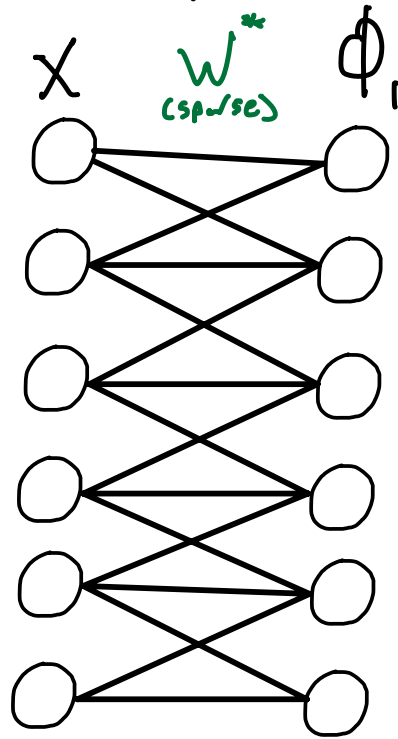
Standard
(Dense) Layer



$$\phi_i = \sigma(x^T W + b)$$

Every output depends
on every input

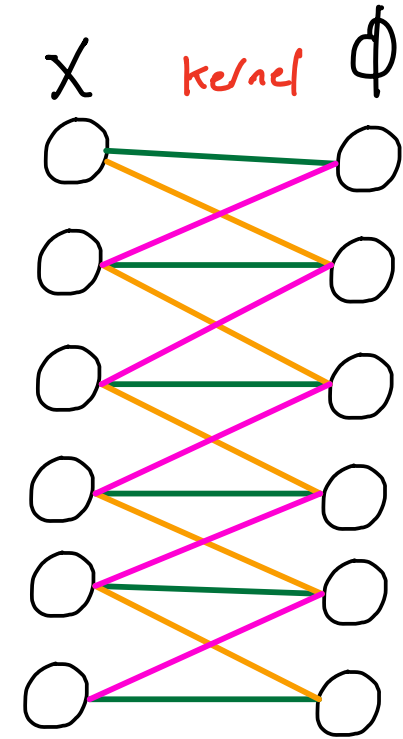
Locally-Connected
Layer



$$\phi_i = \sigma(x^T W^* + b)$$

Every output depends
only on Local inputs

Convolutional
Layer



$$\phi_i = \sigma(\text{conv}(x, k) + b)$$

And weights are
Shared for each output!

Derivatives of convolutions

In general:

$$\frac{dL}{dx_i} = \sum_{j=1}^d \frac{dL}{d\phi_j} \cdot \frac{d\phi_j}{dx_i}$$

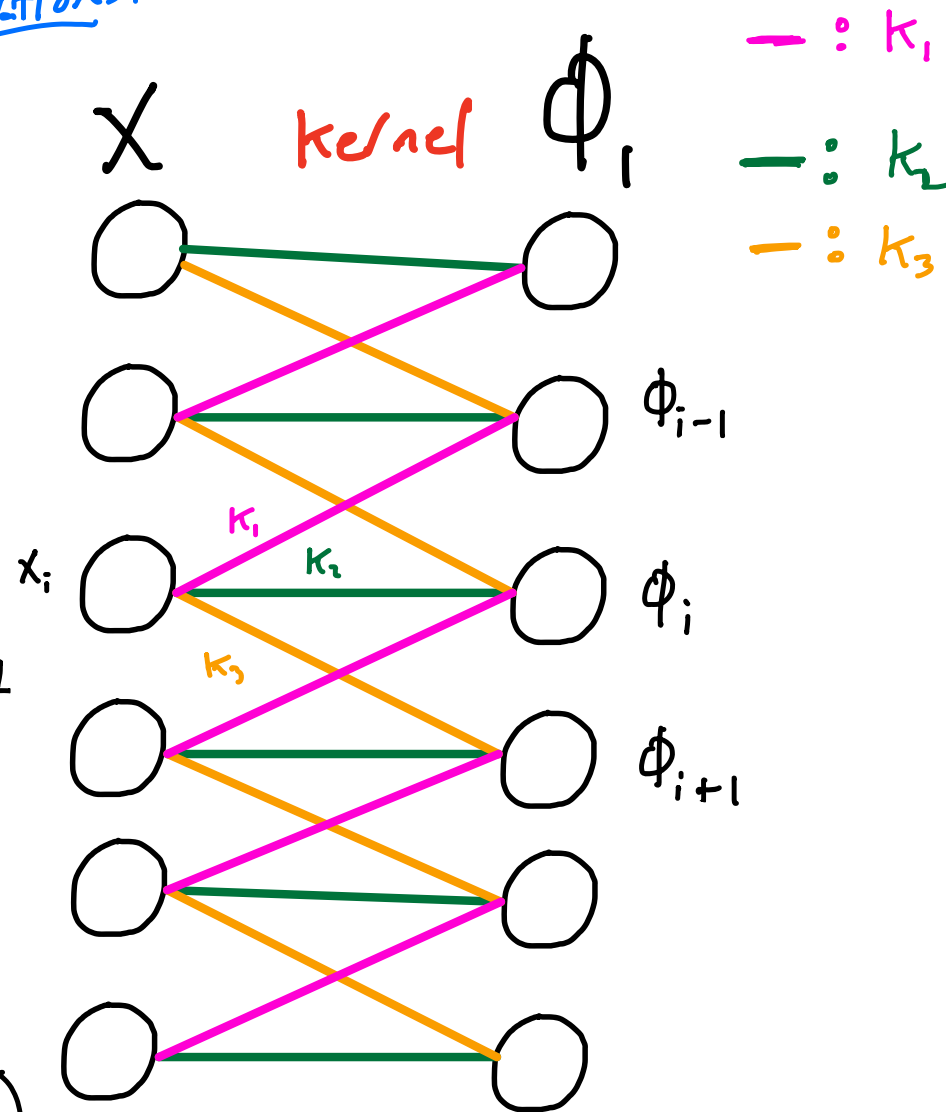
but only $\phi_{i-1}, \phi_i, \phi_{i+1}$
depend on x_i so:

$$\frac{dL}{dx_i} = \underbrace{\frac{dL}{d\phi_{i-1}} \frac{d\phi_{i-1}}{dx_i}}_{\parallel \kappa_1} + \underbrace{\frac{dL}{d\phi_i} \frac{d\phi_i}{dx_i}}_{\parallel \kappa_2} + \underbrace{\frac{dL}{d\phi_{i+1}} \frac{d\phi_{i+1}}{dx_i}}_{\parallel \kappa_3}$$

$$\phi = \text{conv}(x, [\kappa_1, \kappa_2, \kappa_3])$$

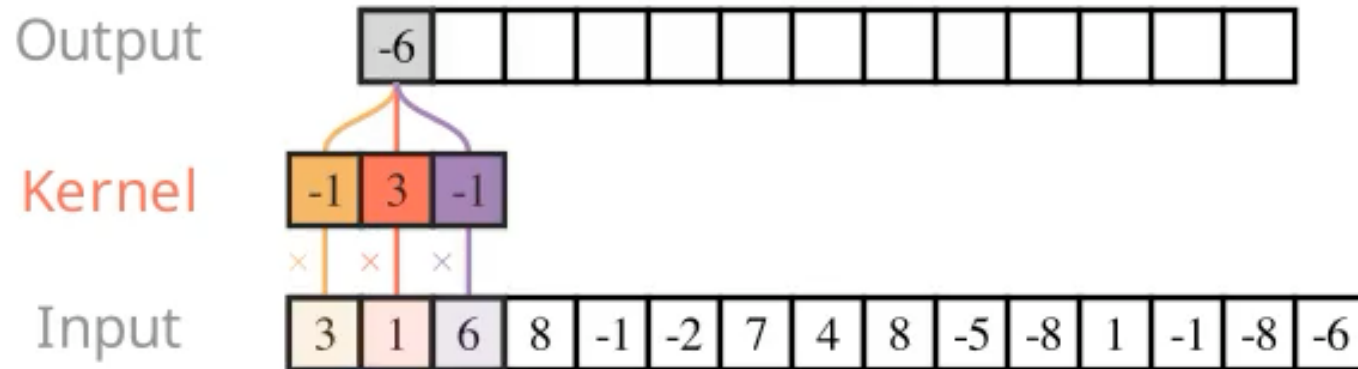
$$\frac{dL}{dx} = \text{conv}\left(\frac{dL}{d\phi}, [\kappa_3, \kappa_2, \kappa_1]\right)$$

Ignoring activations!

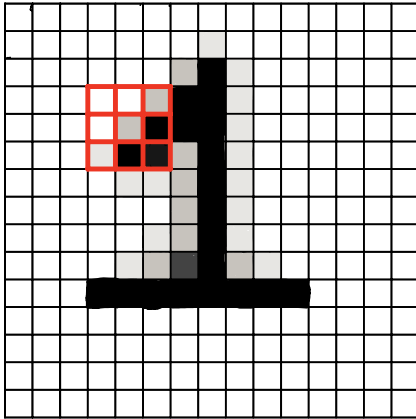


Derivatives Animated!

Convolution (kernel size: 3)



Convolutions in 2-D



Align kernel in every 2-d location

$$\text{Conv2d}(x, k)_{ij} = \sum_{a=1}^s \sum_{b=1}^s x_{i+a, j+b} \cdot k_{ab}$$

[For padding = 'valid']

5	-1	3	8	4	6	-2
-3	4	9	1	1	7	-4
5	-6	3	2	1	-2	0
0	-8	5	5	4	-2	3
4	7	2	-7	3	1	4
4	-3	1	2	6	1	-1
0	0	3	-2	3	-1	0

-1	-2	-1
0	0	0
1	2	1

			1	

$$= 1 \cdot (-1) + 1 \cdot (-2) + 7 \cdot (-1) + 2 \cdot 0 + 1 \cdot 0 + (-2) \cdot 0 + 5 \cdot 1 + 4 \cdot 2 + (-2) \cdot 1$$

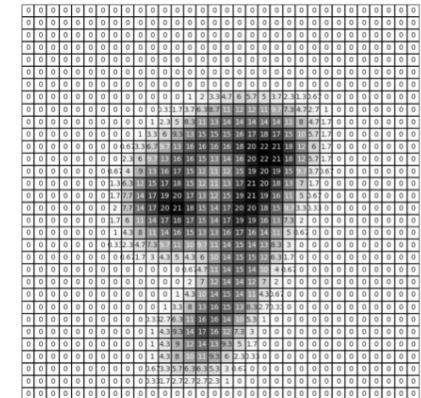
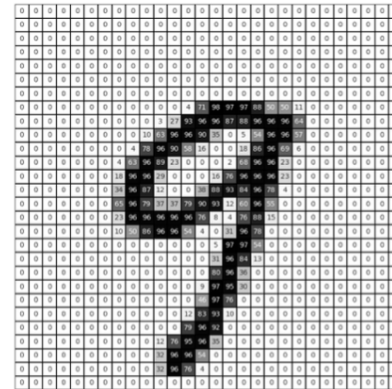
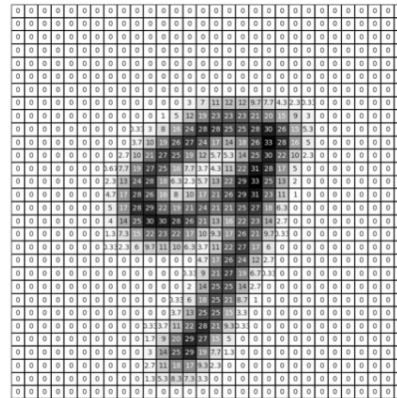
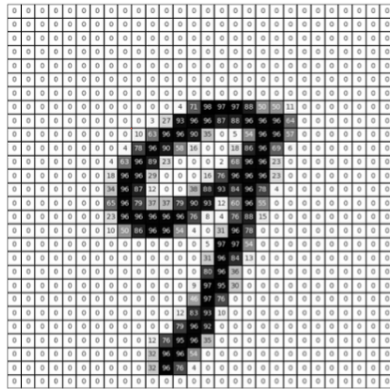
ConVolutions in 2-D (Blur)

Small Blur

0.12	0.12	0.12
0.12	0.12	0.12
0.12	0.12	0.12

Large Blur

0.04	0.04	0.04	0.04	0.04
0.04	0.04	0.04	0.04	0.04
0.04	0.04	0.04	0.04	0.04
0.04	0.04	0.04	0.04	0.04
0.04	0.04	0.04	0.04	0.04



Convolutions in 2-D (Edge detect)

Vertical edges

Horizontal edges

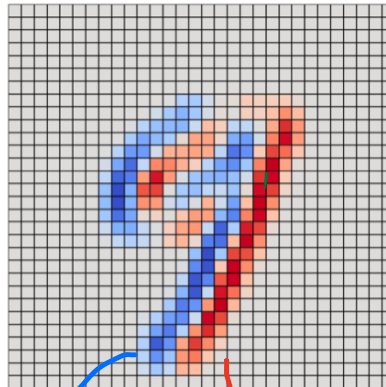
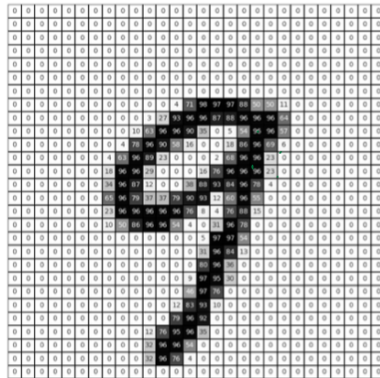
pos.

1	0	-1
1	0	-1
1	0	-1

- neg.

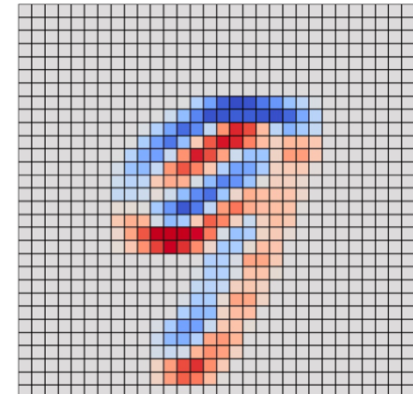
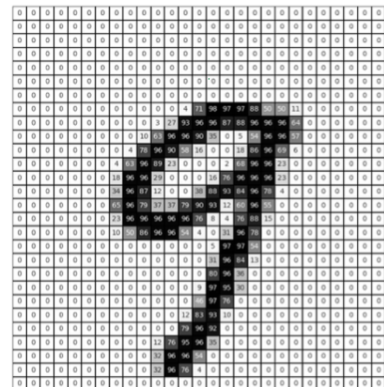
most outputs
near 0

1	1	1
0	0	0
-1	-1	-1



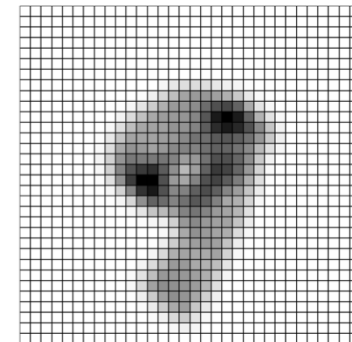
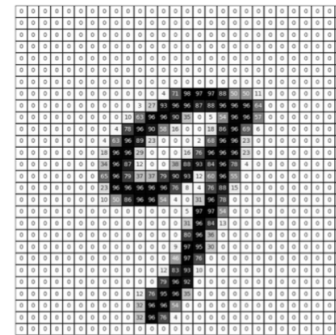
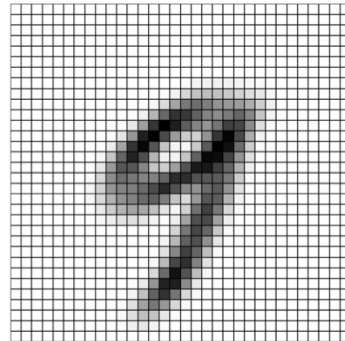
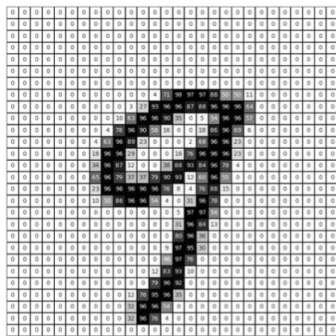
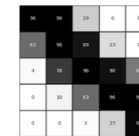
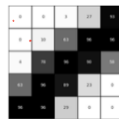
Low Values at neg.
of kernel

High Values Where pattern
matches kernel



Convolutions in 2-D (Edge detect)

Diagonal edges



CONVolutions for color images

Kernel has 3
Channels like Image!

$$\text{CONV}(x, k) =$$

$H \times W \times 3$

$1 \quad 5 \times 5 \times 3$

$$\text{CONV}(x_r, k_r) +$$

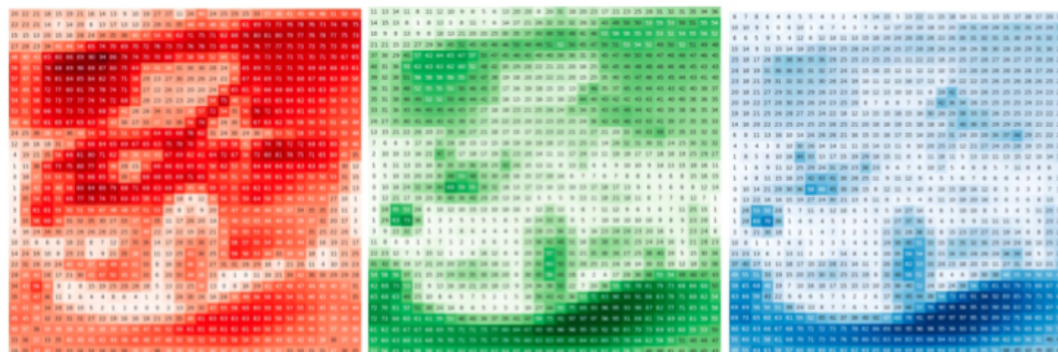
$$\text{CONV}(x_g, k_g) +$$

$$\text{CONV}(x_b, k_b)$$

Image(x)



kernel (k)



Red

73	47	17
96	37	0
75	66	9

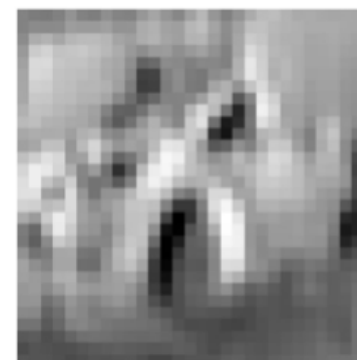
Green

49	53	57
46	57	49
49	51	52

Blue

32	56	80
10	57	99
33	34	92

result

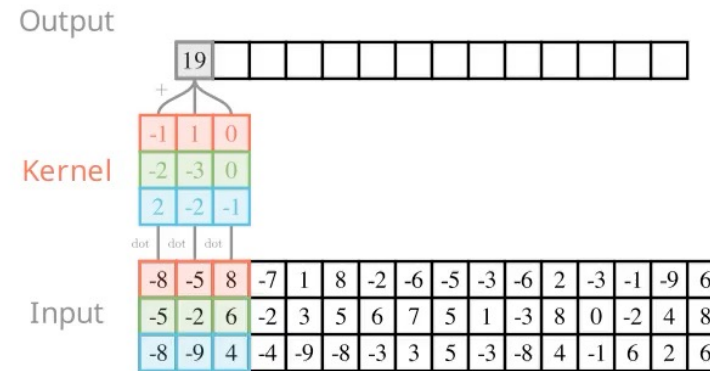


Multiple Output Channels

Kernel can also
produce multiple
channels (71 value
at each location)

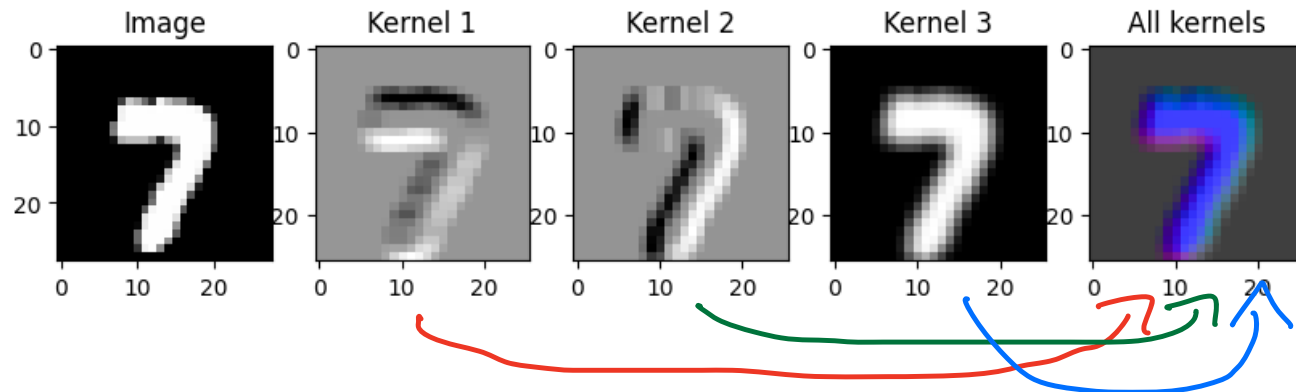
1-D

Convolution (size: 3, channels: 3, output channels: 3)



In 2-D

Combine 3
filters into a
multi-channel
Image



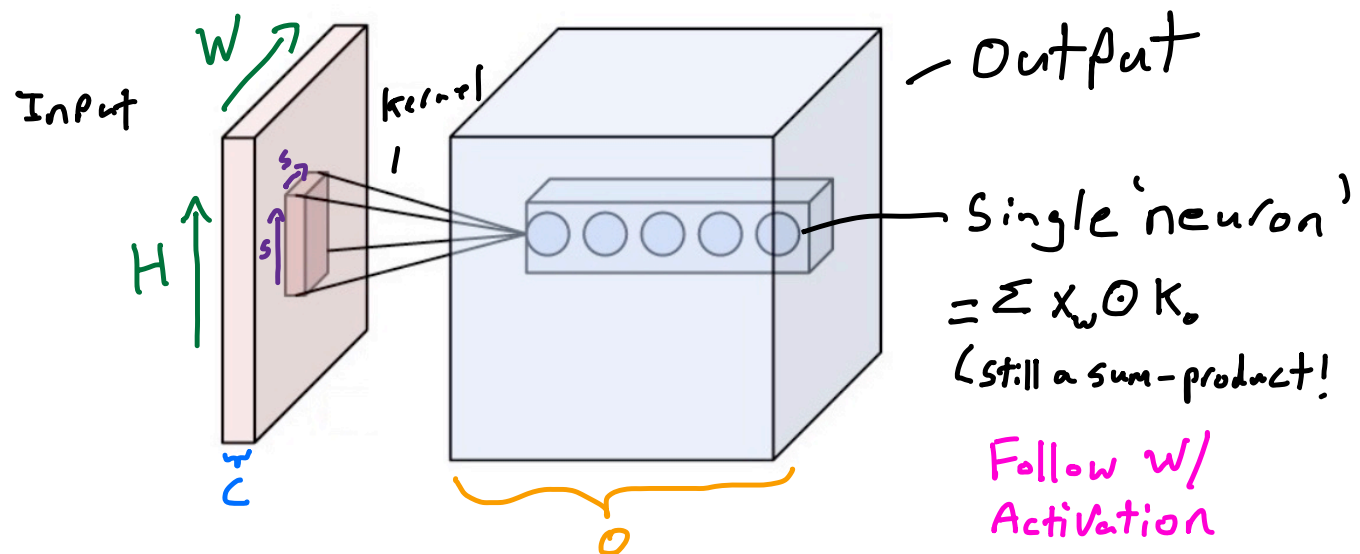
General 2-D Convolutional Layer

Input (X): $N \times H \times W \times C$
Images (Batch) Height Width # Channels

Kernel (K): $S \times S \times C \times O$
Kernel size # Channels # output channels

Output (ϕ): $N \times H \times W \times O$
May change for padding \neq 'same'

Diagram:



PyTorch 2-D Convolutional Layer

Channels before Image size \rightarrow Input (X): $N \times C \times H \times W$
Images (Batch) # Channels Height Width

\rightarrow Kernel (K): $C \times O \times S \times S$
Channels # output Channels Kernel size

\rightarrow Output (ϕ): $N \times O \times H \times S$

CONV2D

```
CLASS torch.nn.Conv2d(in_channels, out_channels, kernel_size, stride=1, padding=0,
dilation=1, groups=1, bias=True, padding_mode='zeros', device=None,
dtype=None) [SOURCE]
```

Applies a 2D convolution over an input signal composed of several input planes.

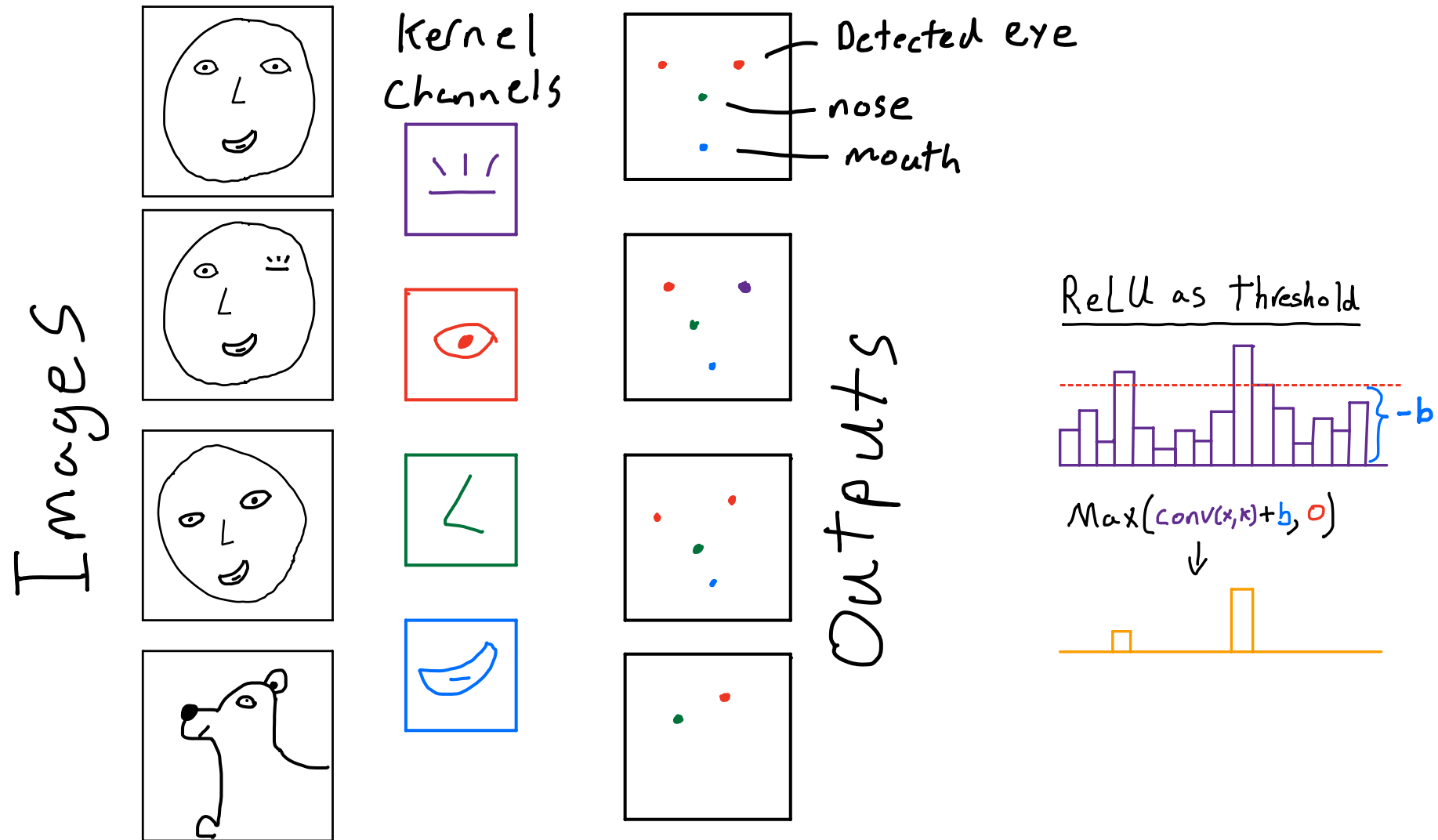
In the simplest case, the output value of the layer with input size (N, C_{in}, H, W) and output $(N, C_{out}, H_{out}, W_{out})$ can be precisely described as:

$$\text{out}(N_i, C_{out_j}) = \text{bias}(C_{out_j}) + \sum_{k=0}^{C_{in}-1} \text{weight}(C_{out_j}, k) \star \text{input}(N_i, k)$$

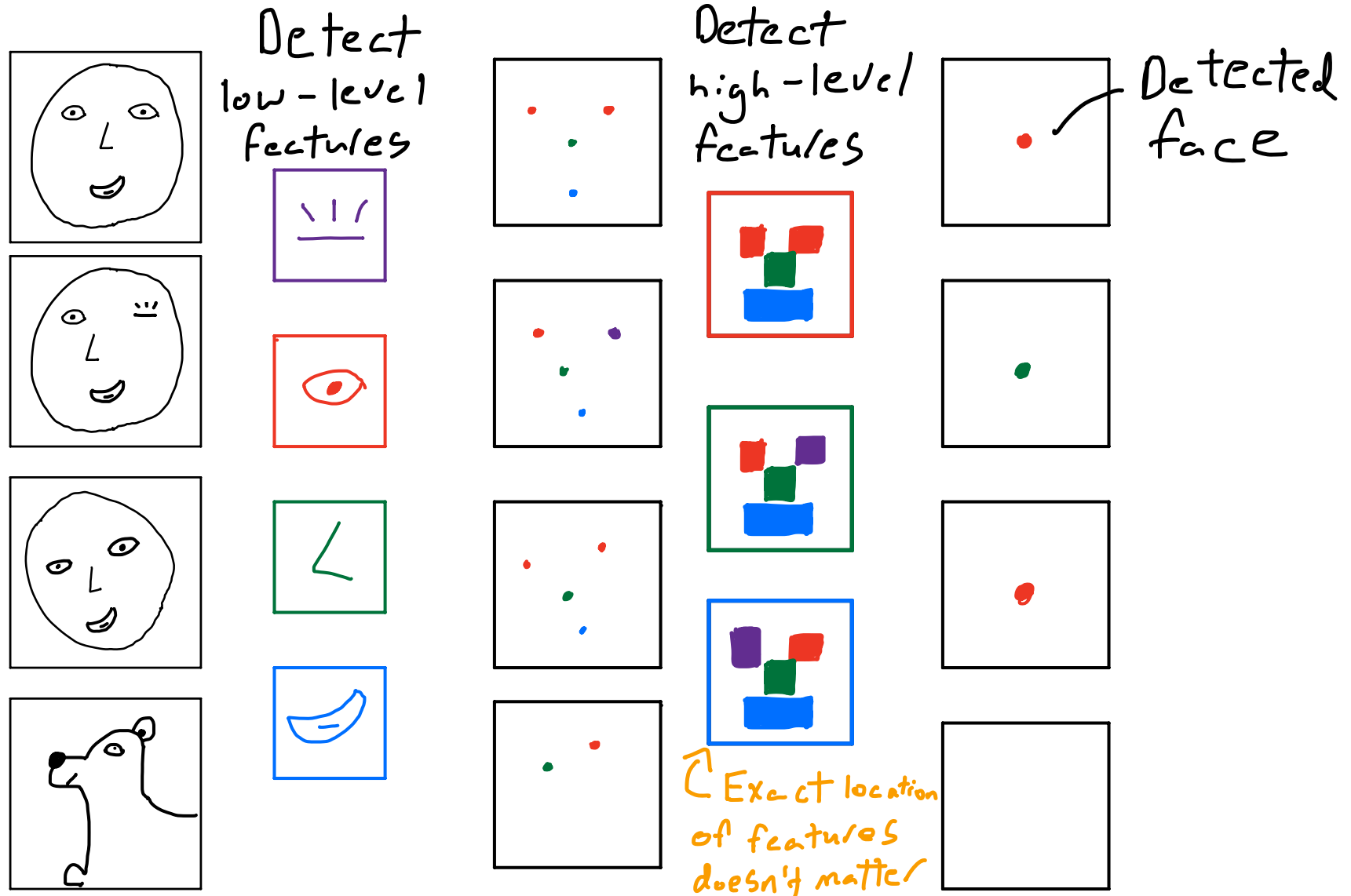
where \star is the valid 2D cross-correlation operator, N is a batch size, C denotes a number of channels, H is a height of input planes in pixels, and W is width in pixels.

Technically what we call convolution is cross correlation

Convolutions as feature detectors

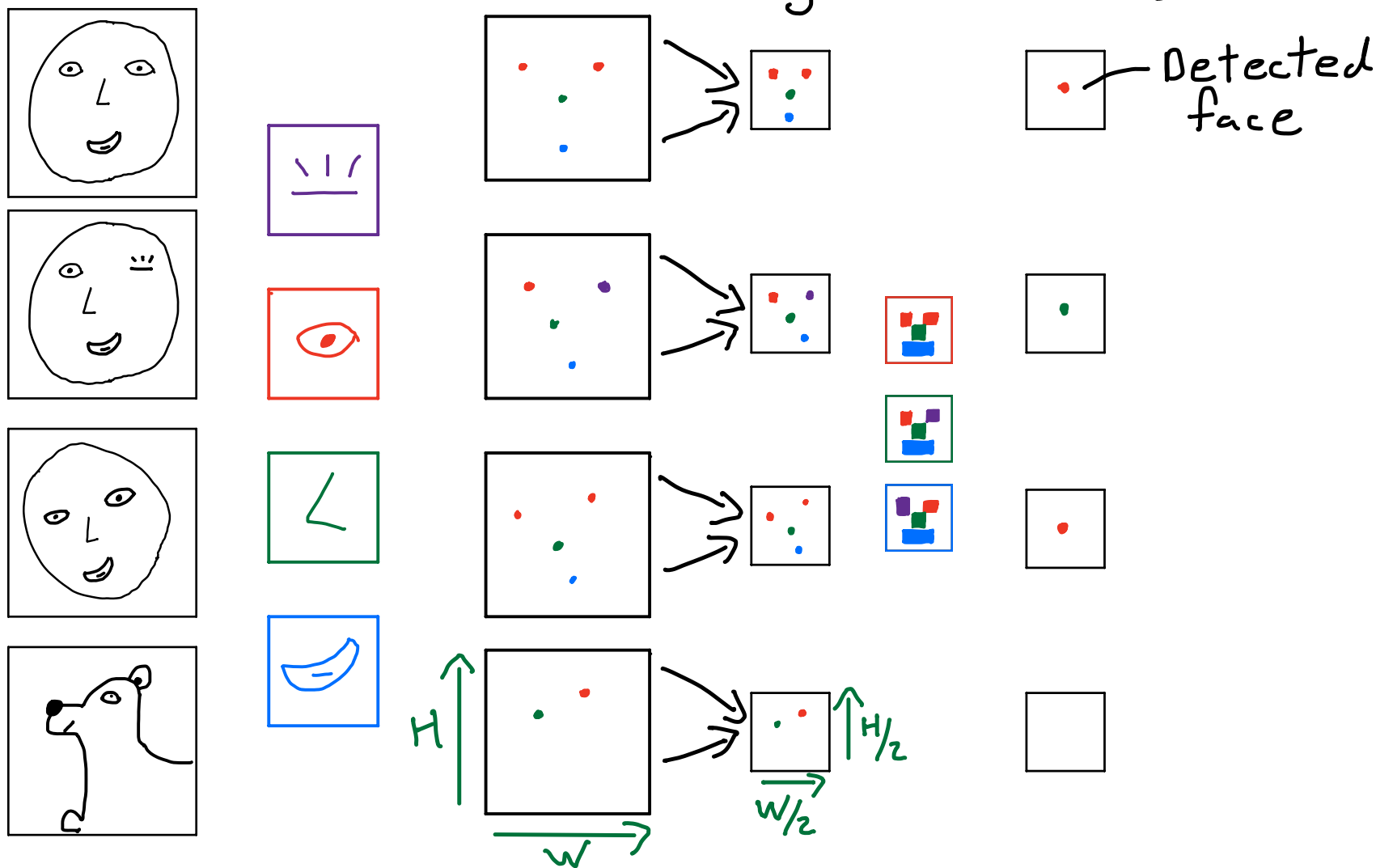


Multi-Layer CNNs



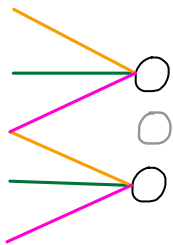
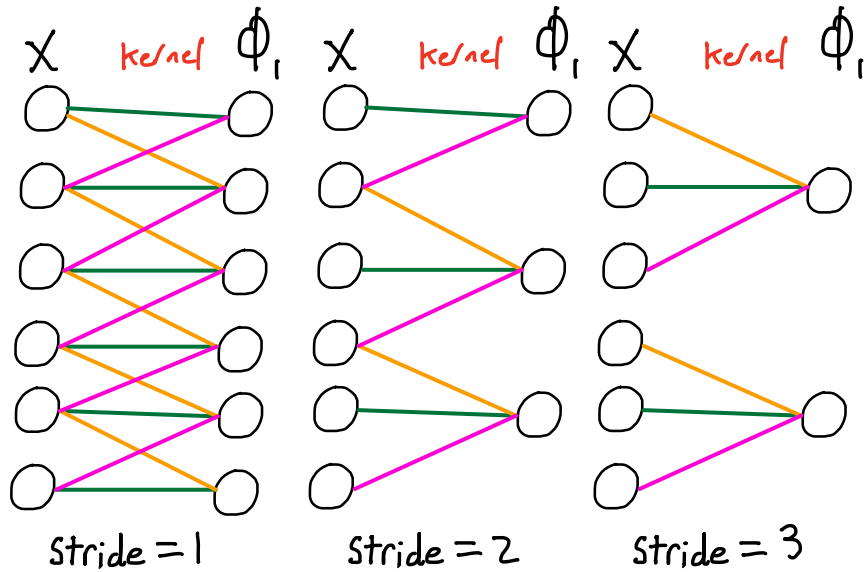
Down Sampling

Reduce resolution \rightarrow Easier and less expensive
to find high-level features



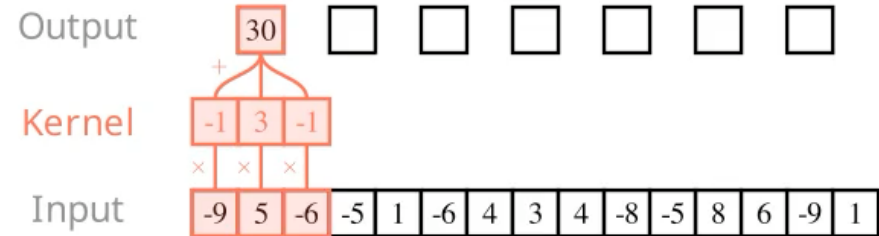
Strided Convolution (1-D)

- Take only $\frac{1}{\text{stride}} \times \text{Outputs}$



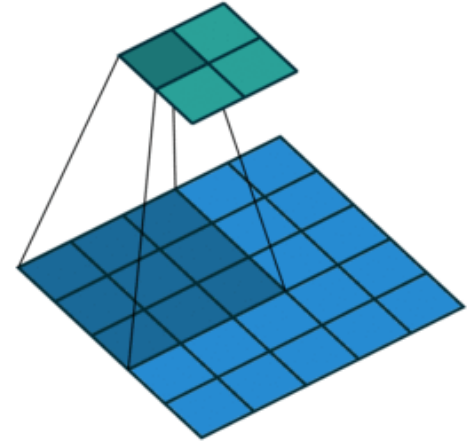
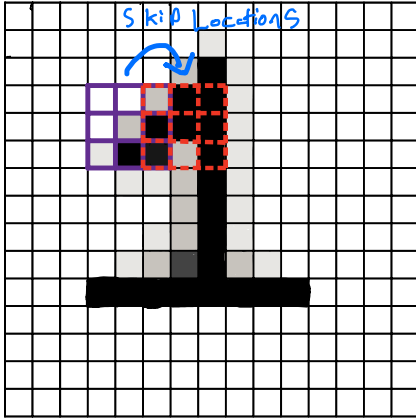
What if the optimal activation is here?

Convolution (size: 3, stride: 2)



Strided Convolutions (2-D)

- Apply stride in each dimension



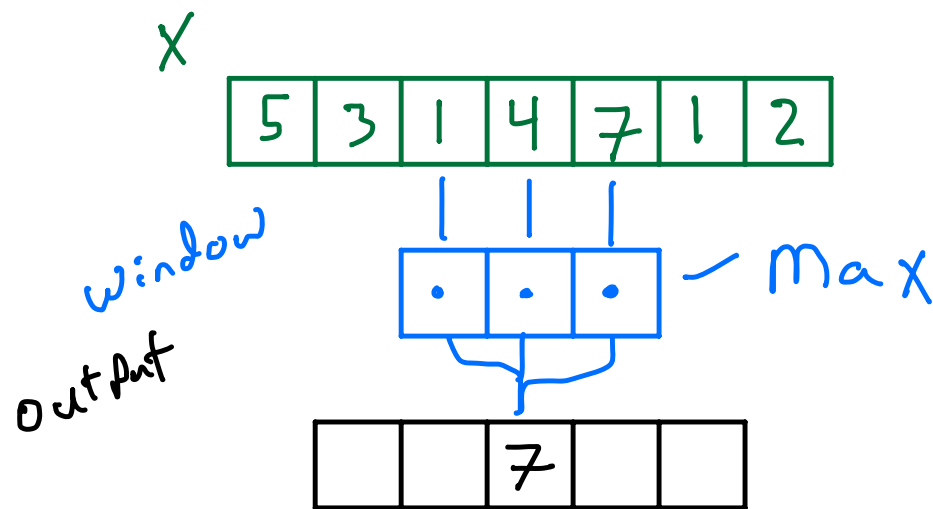
5	-1	3	8	4	6	-2
-3	4	9	1	1	7	-4
5	-6	3	2	1	-2	0
0	-8	5	5	4	-2	3
4	7	2	-7	3	1	4
4	-3	1	2	6	1	-1
0	0	3	-2	3	-1	0

-1	-2	-1
0	0	0
1	2	1

Stride = 2

Pooling operator (1-D)

Inputs: x : Array of length d
window size s



$$7 = \max(1, 4, 7)$$

— Take max output
around each location
before downsampling

— Make sure we
don't miss any
high activations

— Can also take Avg.
(Average pooling)

Convolution + Max Pooling Animated!

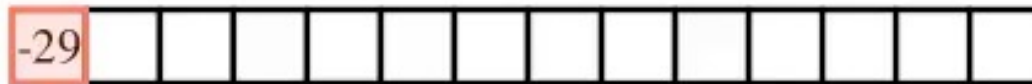
Convolution (size: 3) + Max Pooling (size: 3, stride: 2)

Output



Pooling

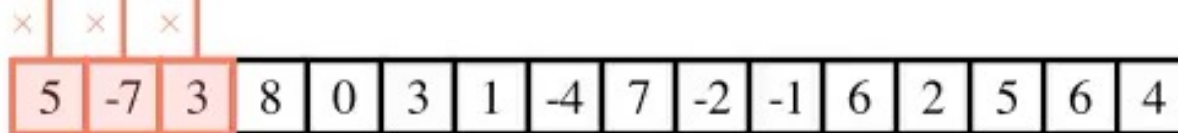
Conv. output



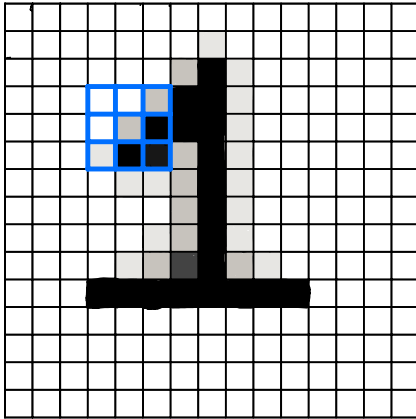
Kernel



Input



Pooling in 2-D



Align window in every 2-d location

$$\text{Max-Pool}(x)_{ij} = \max_{a=1}^s \left(\max_{b=1}^s (x_{i+a, j+b}) \right)$$

$$\text{Avg.-Pool}(x)_{ij} = \frac{1}{s^2} \sum_{a=1}^s \sum_{b=1}^s x_{i+a, j+b}$$

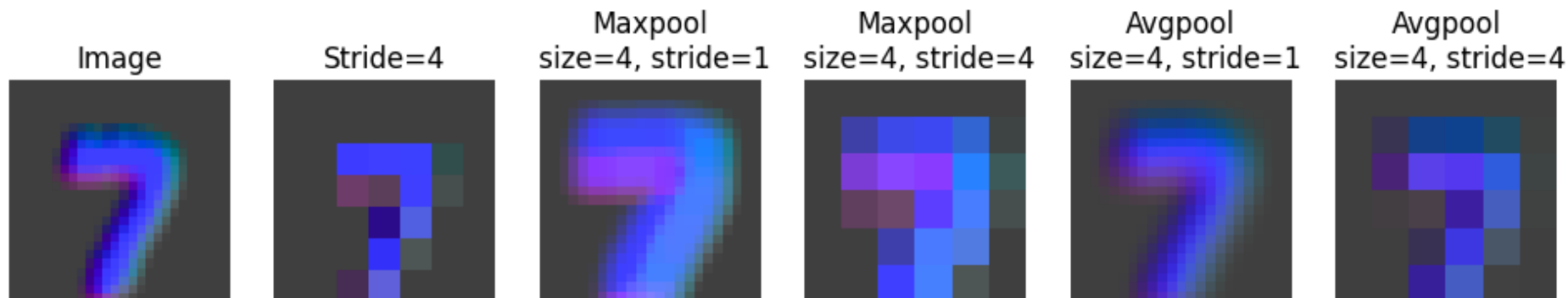
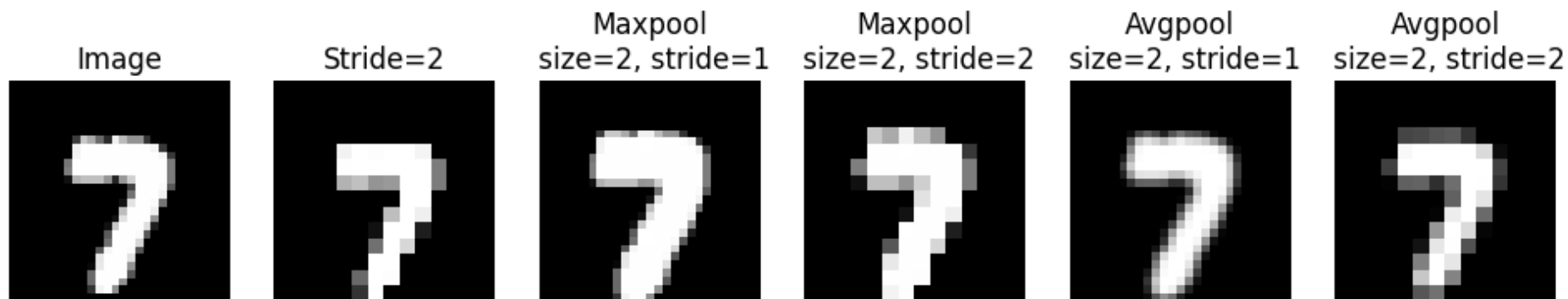
5	-1	3	8	4	6	-2
-3	4	9	1	1	7	-4
5	-6	3	2	1	-2	0
0	-8	5	5	4	-2	3
4	7	2	-7	3	1	4
4	-3	1	2	6	1	-1
0	0	3	-2	3	-1	0

max

			7	

$$7 = \max(1, 1, \underline{7}, 2, 1, -2, 5, 4, -2)$$

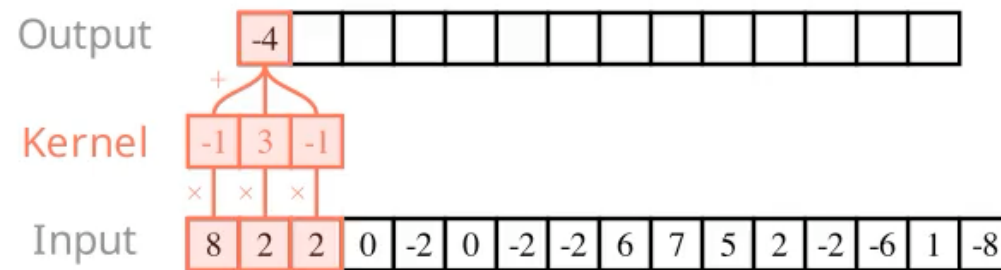
Down Sampling Comparison



Pixel shuffle

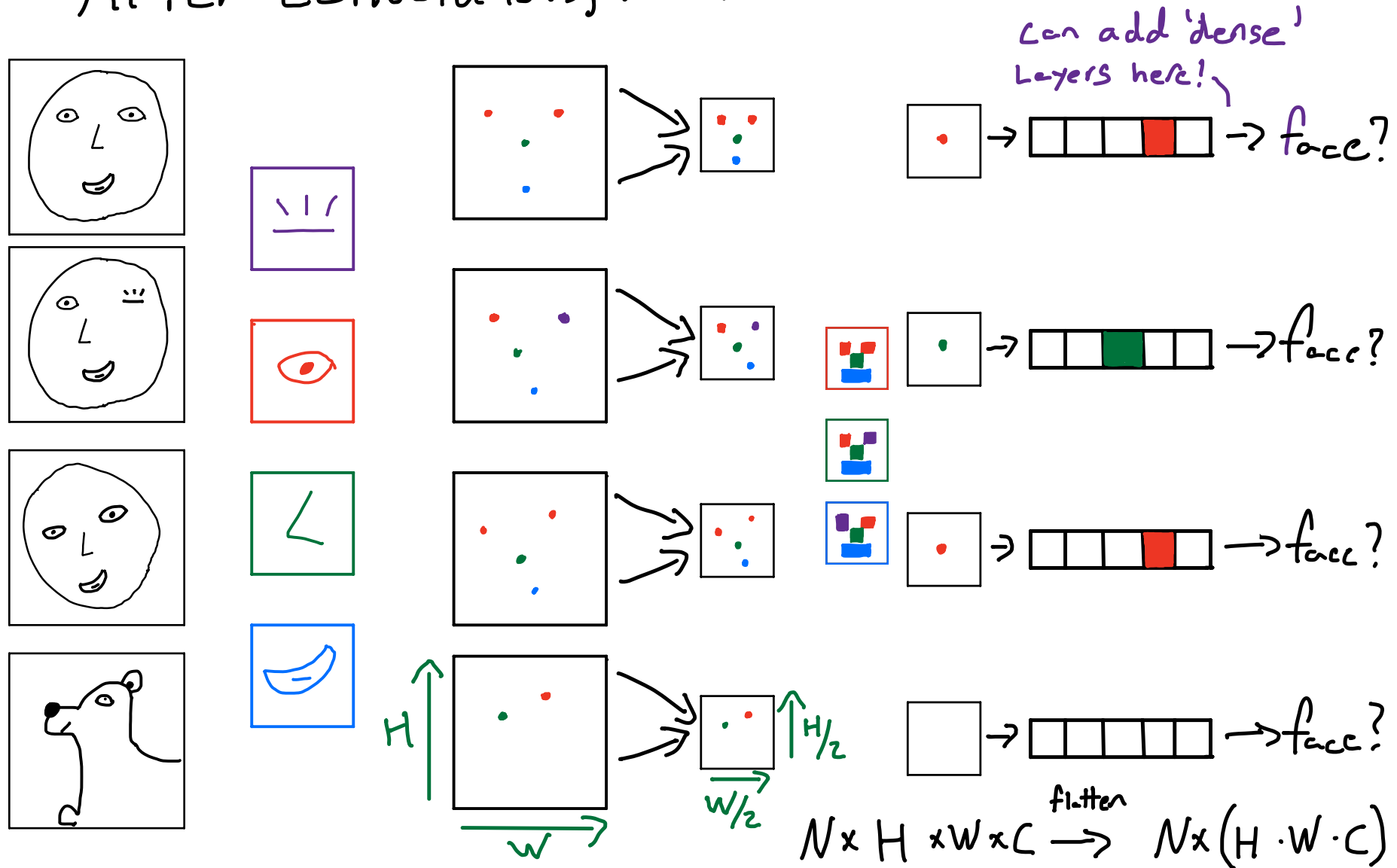
- Rearrange adjacent results into more channels — **Expensive!**

Convolution (size: 3) + Shuffle



Flattening

- After convolutions, flatten as before



Global Avg. Pooling

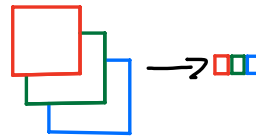
- Alt. to flattening, just Avg. over remaining Image

$$\text{Global Avg.-Pool}(x) = \frac{1}{w \cdot h} \sum_{a=1}^w \sum_{b=1}^h x_{a,b}$$

5	-1	3	8	4	6	-2
-3	4	9	1	1	7	-4
5	-6	3	2	1	-2	0
0	-8	5	5	4	-2	3
4	7	2	-7	3	1	4
4	-3	1	2	6	1	-1
0	0	3	-2	3	-1	0



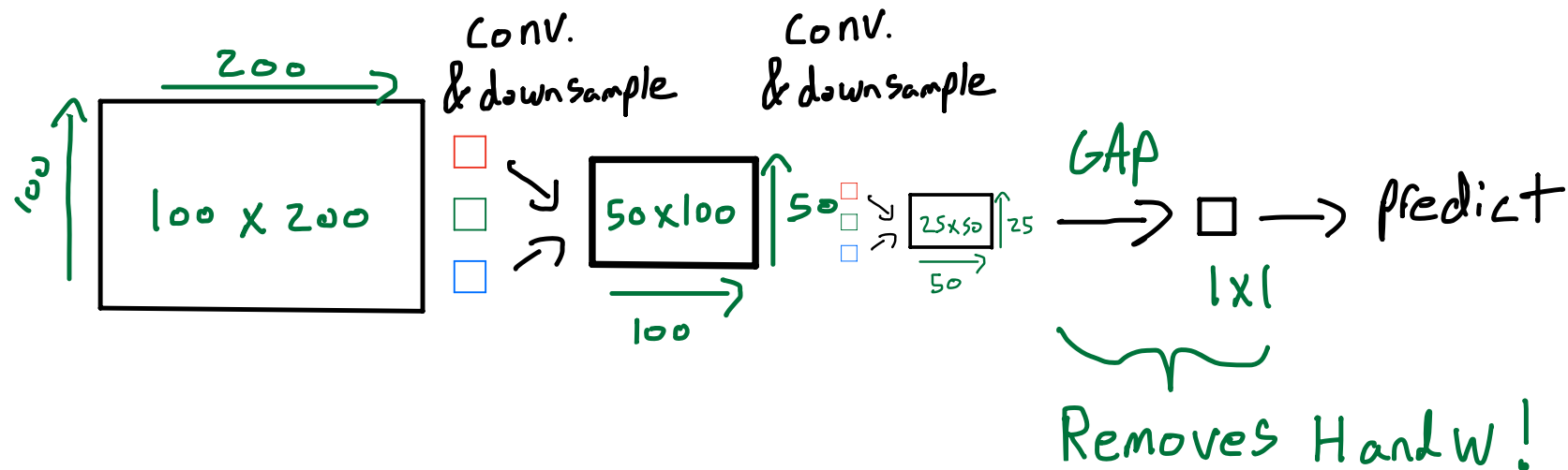
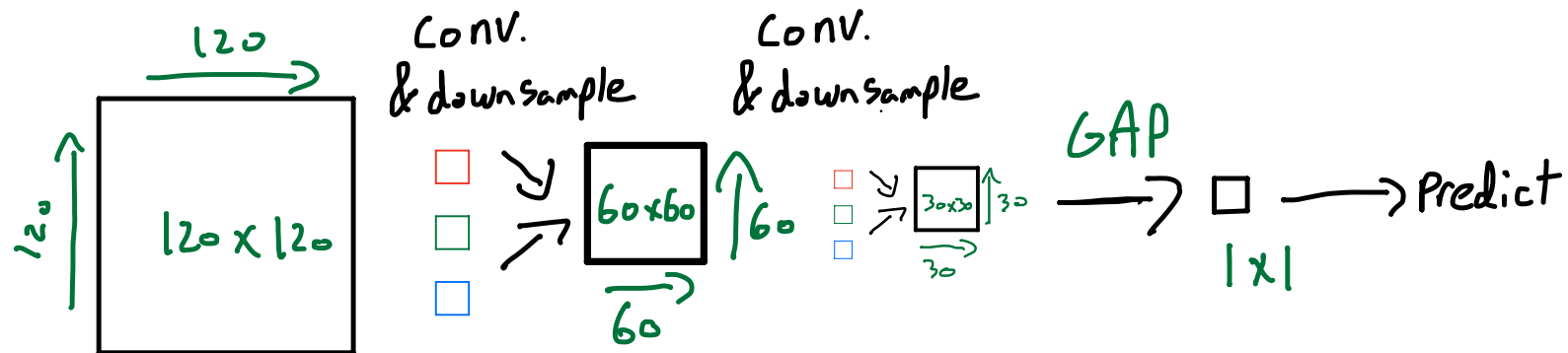
Remember: still multiple channels!



$$N \times H \times W \times C \xrightarrow{\text{GAP}} N \times C$$

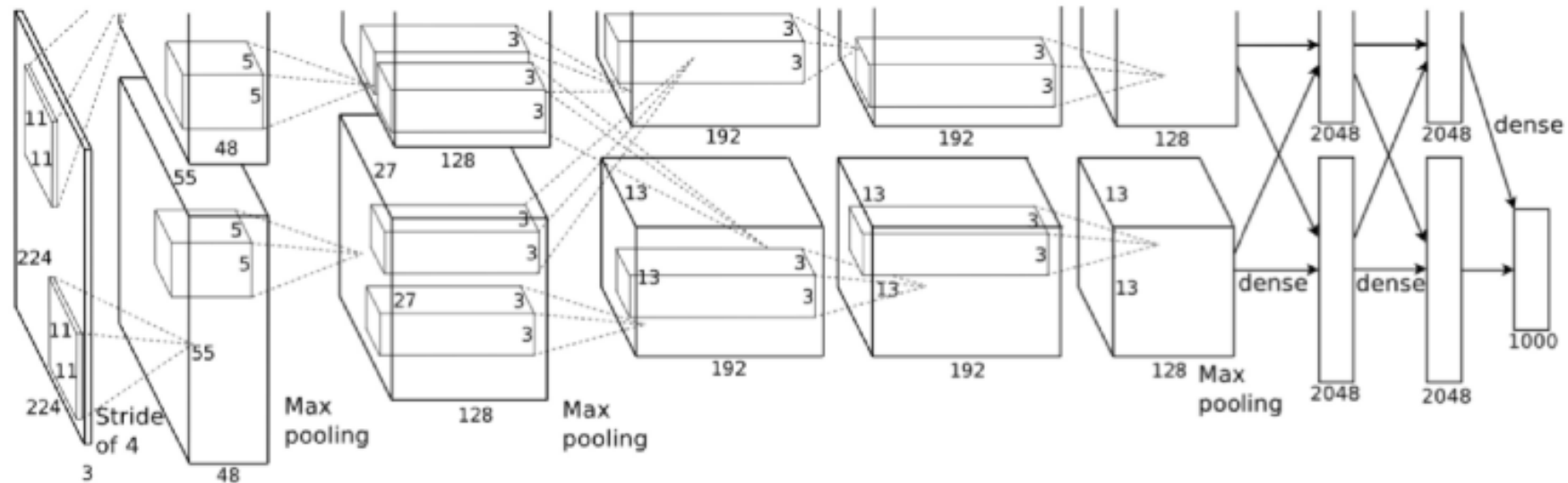
Global Avg. Pooling

— Allows for inputs of different sizes!

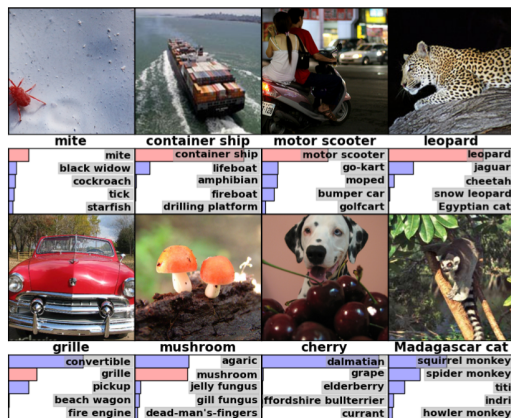


AlexNet (2012)

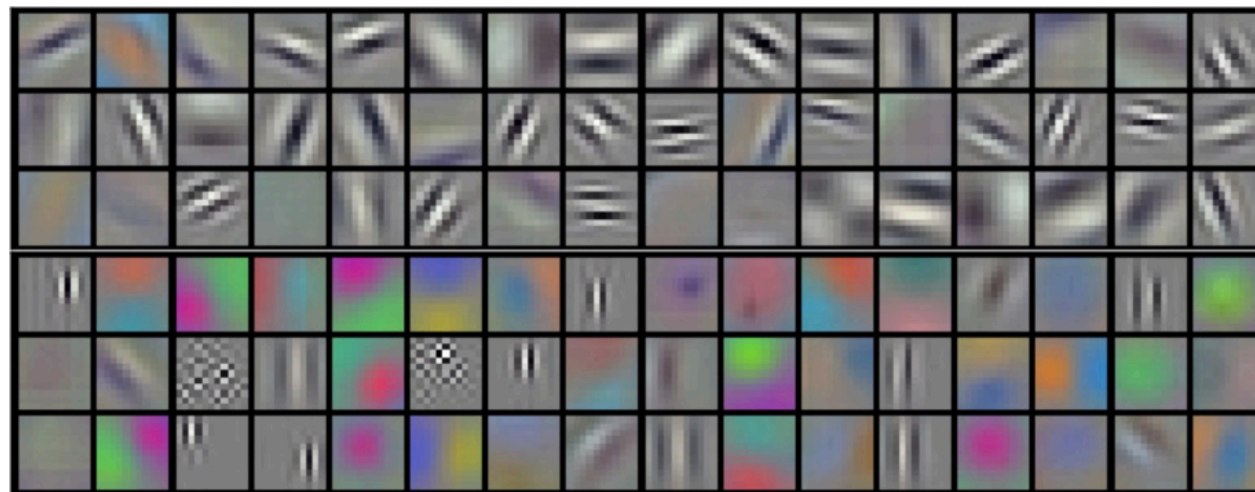
Architecture:



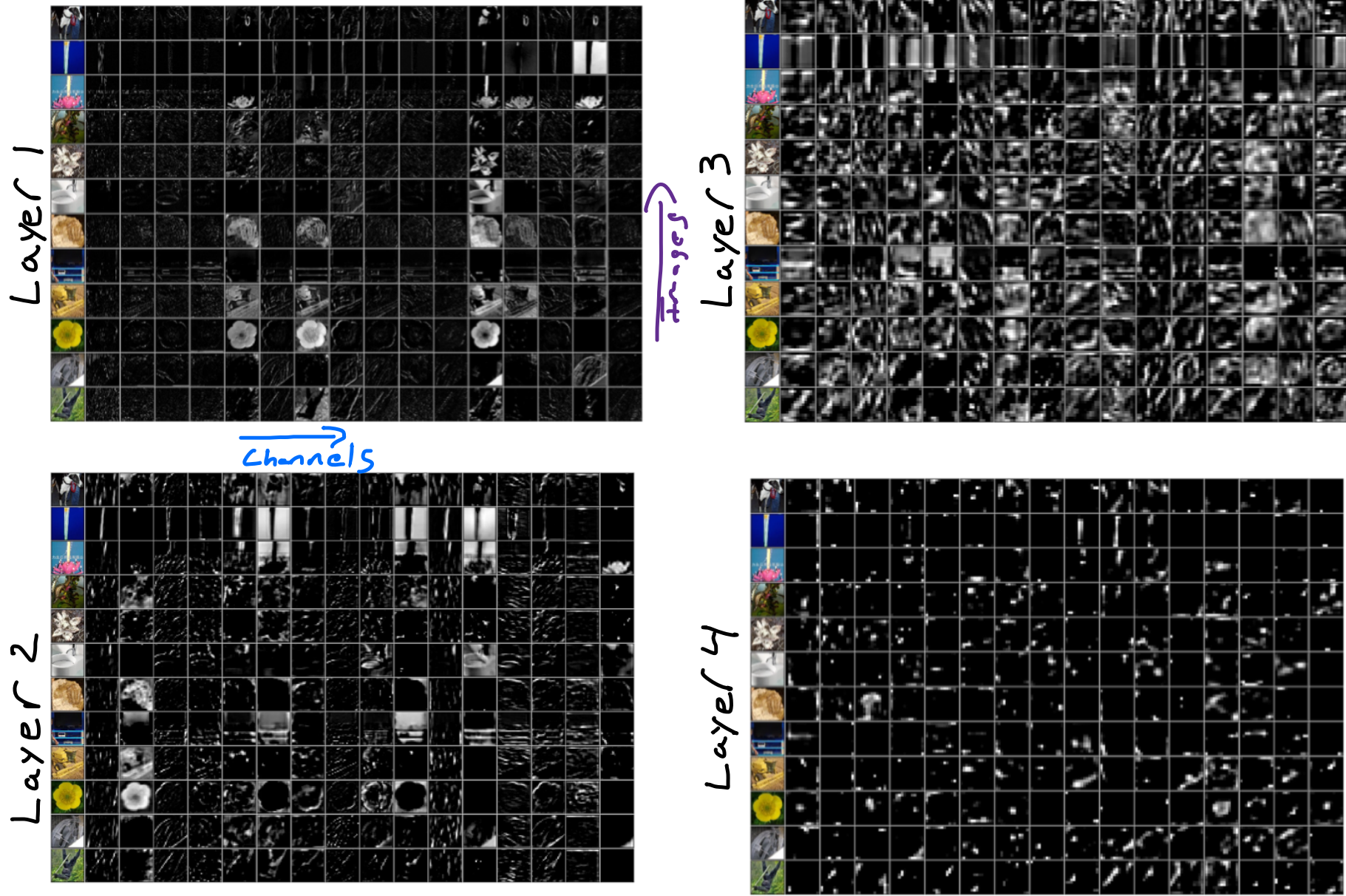
1000 Classes



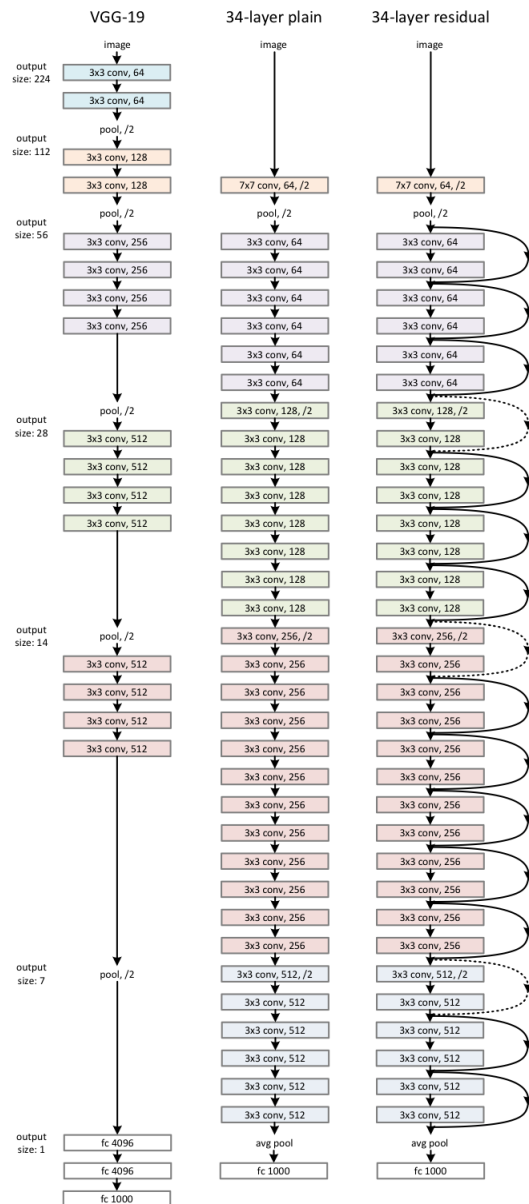
Learned kernels



CNN Features by Layer



Other Architectures



← VGG, Resnet-50, etc.

Wide resnet ↓

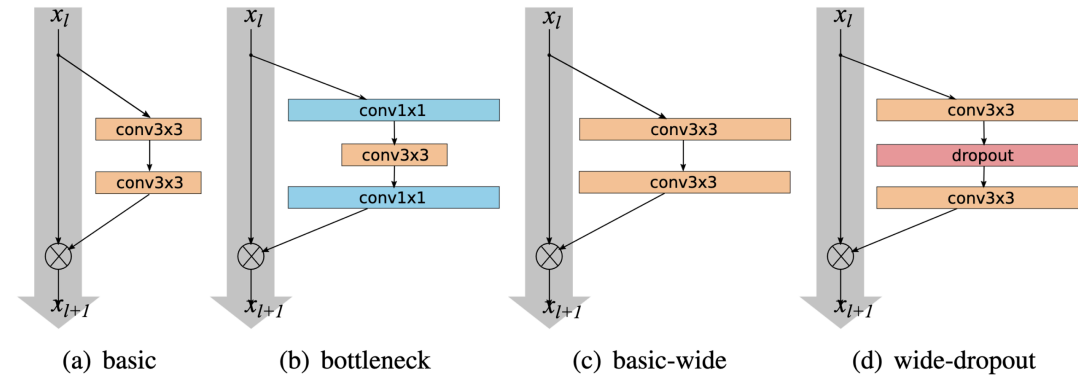


Figure 1: Various residual blocks used in the paper. Batch normalization and ReLU precede each convolution (omitted for clarity)

Many, Many more!

Data Augmentation

— It takes a lot of data to train good Image classifiers!

~ millions to Billions of Images for general object recognition (1000+ classes)

Data Augmentation

- CNNs (mostly) invariant to translation
- What about scale, rotation, color etc.?



↑
Astronaut

Still Astronaut!

- Still shouldn't change class!

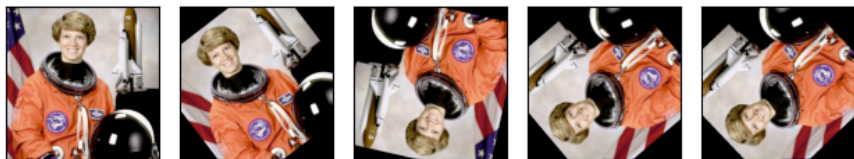
Data Augmentation

- Augment existing data by randomly
Scaling, rotating, Shifting colors etc.
- Much easier than collecting $\sim 10\times$ the data

- Can do this as we train!

Common Augmentations

Rotation



Crop



Color shift



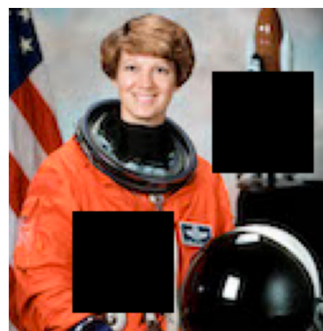
Shift / scale / shear



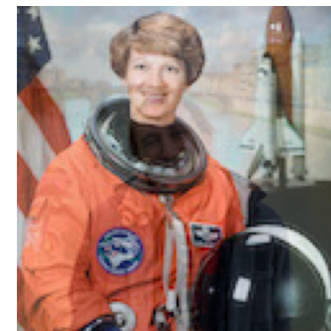
Flip



Cut-out

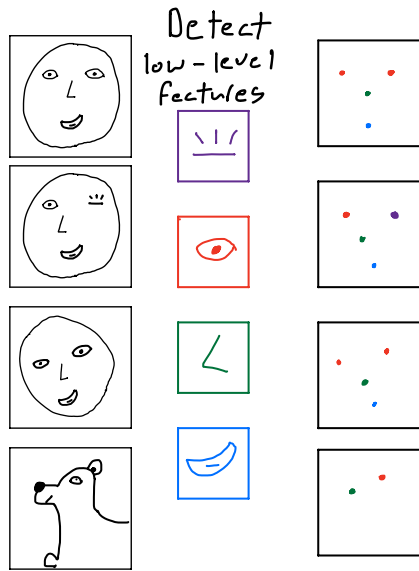


Mix-up



Fine Tuning

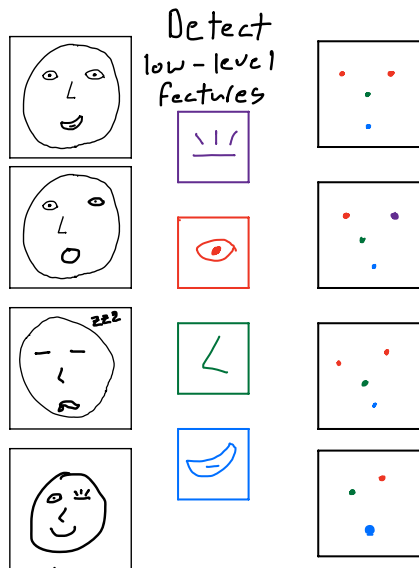
— Low-level features
can often be shared
between Models



Old model

• • •
→ Face?
• • •

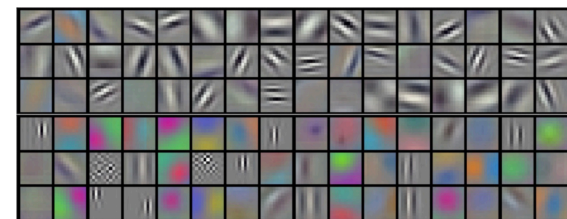
— Exploit this by copying
convolutional layers from
a previously trained model



New model

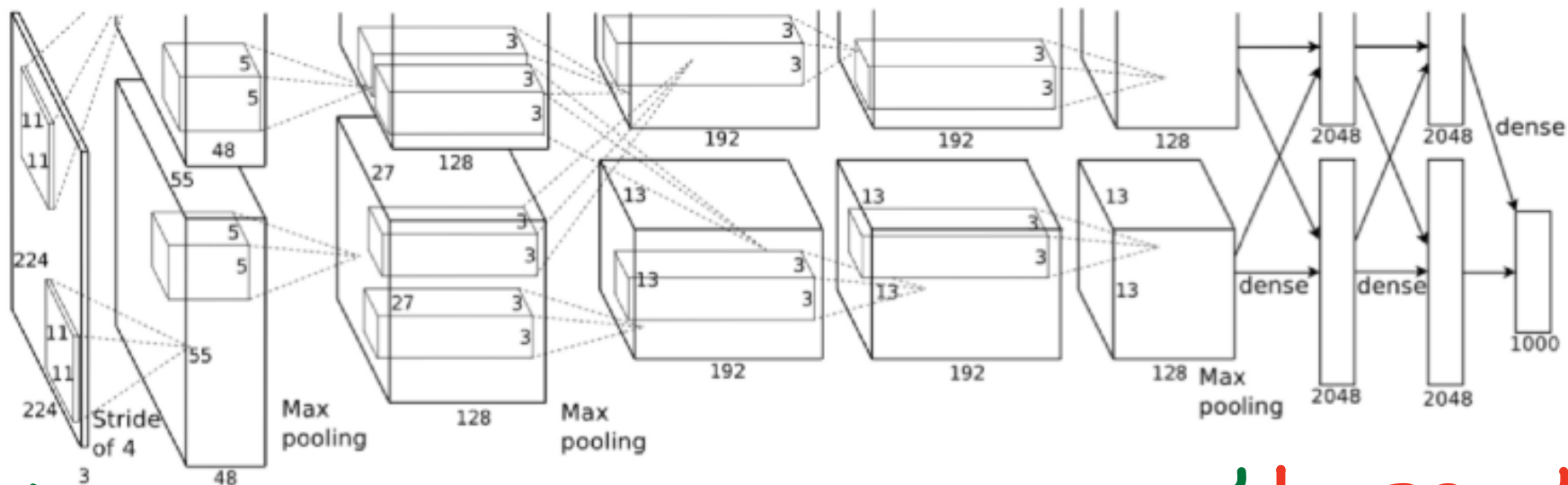
• • •
→ Smiling?
• • •

Actually more
like this! ↓



Fine Tuning

For new task:



keep

CONVolutional Layers

Replace

Dense Layers

Typical Approach, but could keep more or less

Fine Tuning

- Better starting point for Optimization

